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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Shunichi Sekiguchi et al.

Docket No.

15689.76

Serial No.

09/935,889

Filing Date

August 23, 2001

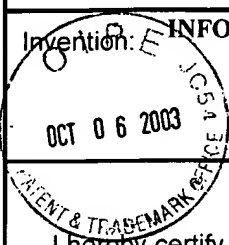
Examiner

Group Art Unit

2152

Invention:

INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD



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Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (2 page);
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

15689.76

In Re Application Of **Shunichi Sekiguchi et al.**

Serial No.
09/935,889

Filing Date
August 23, 2001

Examiner

Group Art Unit
2152

Title: **INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

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In Re Application

Shunichi Sekiguchi et al.

Serial No.

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Signature

Dated: October 6, 2003

ADRIAN J. LEE

Attorney for Applicant

Reg. No.: 42,785

Customer No.: 022913

CC:



PATENT APPLICATION
Docket No: 15689.57

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunichi SEKIGUCHI et al.

Serial No.: 09/935,889

Filing Date: August 23, 2001

For: INFORMATION DELIVERY SYSTEM AND
INFORMATION DELIVERY METHOD

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

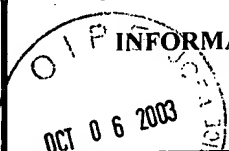
DATED October 6, 2003.

Respectfully submitted,



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 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	Docket Number (Optional) 15689.76	Application Number 09/935,889
	Applicant(s) Shunichi Sekiguchi et al.	
	Filing Date August 23, 2001	Group Art Unit 2151

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
1		IEEE Personal Communications, "Dynamic Adaptation in an Image Transcoding Proxy for Mobile Web Browsing" Richard Han, Pravin Bhagwat, Richard LaMaire, Todd Mummert, Veronique Perret, and Jim Rubas IBM T.J. Watson Research Center December 1998, Vol. 5 No. 6 pp. 1, 8-17
2		International Organization for Standardization Organisation Internationale Normalisation ISO/IEC JTC 1/SC 29/WG11 Coding of Moving Pictures and Audio March 2000, Noordwijkerhout pp.1-137

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

15689.76

Application Number

09/935,889

Applicant(s)

Shunichi Sekiguchi, et al.

Filing Date

August 23, 2001

Group Art Unit

2152

*EXAMINER

INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

The Platform for Privacy Preferences 1.0 (P3P1.0) Specification

W3C Working Draft 10 May 2000

Lorrie Cranor, Marc Langheinrich, Massimo Marchiori, Martin Presler-Marshall, Joseph Reagle

POIX: Point of Interest Exchange Language Specification

W3C Note- 24 June 1999

Kanemitsu et al.

Composite Capability/Preference Profiles (CC/PP): A User Side Framework for Content Negotiation

W3C Note, 27 July 1999

Reynolds et al.

"Wireless Application Group User Agent Profile Specification"

WAG UAPROF Version, 10 November 1999

Wireless Application protocol Forum, LTD. 1999

Journal of Visual Communication and Image Representation

Yehoshua Y. Zeevi, C.C. Jay Kuo

Vol. 10, 1999

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